

FDI Carpatica STOCK – Situatia detaliata a activelor la data de 31/12/2013

I. Valori mobiliare admise sau tranzactionate pe o piata reglementata din Romania

1. Actiuni tranzactionate

Emitent	Simbol actiune	Data ultimei sedinte in care s-a tranzactionat	Nr. actiuni detinute	Valoare nominala	Valoare actiune	Valoare totala	Pondere in capitalul social al emitentului	Pondere in activul total al OPC
Antibiotice Iasi	ATB	30-Dec-13	46,095	0.1000	0.5580	25,721.01	0.0081%	0.169%
ARMAX GAZ SA Medias	ARAX	30-Dec-13	14,490	10.0000	5.5050	79,767.45	0.0024%	0.523%
BANCA COMERCIALA CARPATICA SA	BCC	30-Dec-13	13,007,502	0.1000	0.0676	879,307.14	0.4134%	5.769%
Banca Transilvania Cluj	TLV	30-Dec-13	10,157	1.0000	1.6500	16,759.05	0.0006%	0.110%
BRD - GROUPE SOCIETE GENERALE	BRD	30-Dec-13	14,000	1.0000	9.0000	126,000.00	0.0020%	0.827%
Compa Sibiu	CMP	30-Dec-13	39,000	0.1000	0.5160	20,124.00	0.0178%	0.132%
Condmag Brasov	COMI	30-Dec-13	1,464,083	0.1000	0.0761	111,416.72	0.3839%	0.731%
FONDUL PROPRIETATEA SA	FP	30-Dec-13	1,584,685	1.0000	0.8335	1,320,834.95	0.0115%	8.665%
Petrom Bucuresti	SNP	30-Dec-13	1,840,500	0.1000	0.4698	864,666.90	0.0032%	5.673%
PREBET SA AIUD	PREB	30-Dec-13	65,100	0.1800	0.1805	11,750.55	0.1429%	0.077%
REMARUL 16 FEBRUARIE CLUJ NAF	REFE	30-Dec-13	3,250	2.5000	6.3000	20,475.00	0.1589%	0.134%
S.N. NUCLEARELECTRICA S.A.	SNN	30-Dec-13	39,165	10.0000	11.1900	438,256.35	0.0154%	2.875%
Santierul Naval Orsova	SNO	30-Dec-13	1,800	2.5000	1.2950	2,331.00	0.0158%	0.015%
SIF 1 Banat Crisana	SIF1	30-Dec-13	965,000	0.1000	1.2920	1,246,780.00	0.1758%	8.180%
SIF 2 Moldova	SIF2	30-Dec-13	145,000	0.1000	1.4700	213,150.00	0.0279%	1.398%
SIF 3 Transilvania	SIF3	30-Dec-13	1,100,000	0.1000	0.6715	738,650.00	0.1007%	4.846%
SIF 4 Muntenia	SIF4	30-Dec-13	616,700	0.1000	0.8820	543,929.40	0.0764%	3.569%
SIF 5 Oltenia	SIF5	30-Dec-13	773,900	0.1000	1.9840	1,535,417.60	0.1334%	10.073%
Transelectrica S.A.	TEL	30-Dec-13	13,580	10.0000	15.7900	214,428.20	0.0185%	1.407%
TRANSGAZ SA	TGN	30-Dec-13	3,770	10.0000	185.2500	698,392.50	0.0320%	4.582%
Turbomecanica Bucuresti	TBM	30-Dec-13	235,000	0.1000	0.0265	6,227.50	0.0636%	0.041%
Vrancart Adjud	VNC	30-Dec-13	824,593	0.1000	0.0691	56,979.38	0.1037%	0.374%
Total						9,171,364.70		60.170%

2. Actiuni netranzactionate in ultimele 30 de zile

Emitent	Simbol actiune	Data ultimei sedinte in care s-a tranzactionat	Nr. actiuni detinute	Valoare nominala	Valoare actiune	Valoare totala	Pondere in capitalul social al emitentului	Pondere in activul total al OPC
CONCEFA SA SIBIU	COFI	14-Mar-12	1,600,000	0.1000	0.0320	0.00	0.2540%	0.000%
Total						0.00		0.000%

5. Obligatiuni admise la tranzactionare emise sau garantate de autoritati ale administratiei publice locale/obligatiuni corporative

Emitent	Simbol obligatiune	Data ultimei sedinte in care s-a tranzactionat	Nr. obligatiuni detinute	Data achizitiei	Data cupon	Data scadenta cupon	Valoare initiala	Crestere zilnica	Dobanda cumulata	Discount/pri ma cumulate(a)	Pret piata	Valoare totala	Pondere in total obligatiuni emitent	Pondere in activul total al OPC
Primaria Municipiului Alba Iulia	ALB25A		2,320	19-Aug-13	15-Oct-13	15-Apr-14	43.54	0.01	0.6064	0.5973		103,805.48	0.00%	0.681%
Total												103,805.48		0.681%

II. Valori mobiliare admise sau tranzactionate pe o piata reglementata din alt stat membru

1. Actiuni tranzactionate - denumite in

Emitent	Cod ISIN	Data ultimei sedinte in care s-a tranzactionat	Nr. actiuni detinute	Valoare nominala*	Valoare actiune*	Curs valutar BNR .../RON	Valoare totala	Pondere in capitalul social al emitentului	Pondere in activul total al OPC
ERSTE GROUP BANK AG	AT0000652011	31-Dec-13	500	2.0000	25.3300	4.4847	56,798.73	0.0001%	0.373%
Total							56,798.73		0.373%

2. Obligatiuni admise la tranzactionare emise sau garantate de autoritati ale administratiei publice locale

Emitent	Cod ISIN	Data ultimei sedinte in care s-a tranzactionat	Nr. obligatiuni detinute	Data achizitiei	Data cupon	Data scadentei cupon	Valoare initiala*	Crestere zilnica*	Dobanda cumulata*	Discount/pri ma cumulat(a)*	Pret piata*	Curs valutar BNR .../RON	Valoare totala	Pondere in total obligatiuni ale unui emitent	Pondere in activul total al OPC
GENERALITAT DE VALENCIA	XS0546756239		3	12-Feb-13	27-Oct-13	27-Oct-14	225333.33	84.56	4000.68	8766.7344	-	1.0000	714,302.26	1.57%	4.686%
Total													714,302.26		4.686%

VII. Valori mobiliare nou emise

2. Obligatiuni nou emise

Emitent	Nr. obligatiuni detinute	Data achizitiei	Data cupon	Data scadentei cupon	Valoare initiala	Crestere zilnica	Dobanda cumulata	Discount/pri ma cumulat(a)	Valoare totala	Pondere in total obligatiuni ale unui emitent	Pondere in activul total al OPC
Transelectrica S.A.	50	18-Dec-13	23-Dec-13	19-Dec-14	10,000.00	1.67	15.0410	0.0000	500,752.05	0.00%	3.285%
Total									500,752.05		3.285%

IX. Disponibil in conturi curente si numerar

1. Disponibil in conturi curente si numerar in lei

Denumire banca	Valoare curenta	Pondere in activul total al OPC
BANCA COMERCIALA CARPATICA S	11,134.94	0.073%
BANCA COMERCIALA CARPATICA S	2,100.00	0.014%
BANCA COMERCIALA FERVIARA	568,776.89	3.732%
BANCA COMERCIALA FERVIARA	18.13	0.000%
Credit Europe Bank	25.04	0.000%
ING BANK	0.00	0.000%
LIBRA BANK	14.01	0.000%
Piraeus Bank	1.04	0.000%
RAIFFEISEN BANK	823.20	0.005%
RAIFFEISEN BANK	0.00	0.000%
Volksbank S.A.	101.51	0.001%
Total	582,994.76	3.825%

X. Depozite bancare

1. Depozite bancare denumite in lei

Denumire banca	Data constituirii	Data scadentei	Valoare initiala	Crestere zilnica	Dobanda cumulata	Valoare toatala	Pondere in activul total al OPC
BANCA COMERCIALA FERVIARA	30-Dec-13	30-Jan-14	300,000.00	38.63	77.26	300,077.26	1.969%
BANCA COMERCIALA FERVIARA	6-Nov-13	14-Feb-14	250,000.00	34.59	1,936.99	251,936.99	1.653%

BANCA COMERCIALA FERROVIARA	27-Nov-13	27-Feb-14	300,000.00	41.10	1,438.36	301,438.36	1.978%
Credit Europe Bank	6-Nov-13	6-Feb-14	250,000.00	34.25	1,917.81	251,917.81	1.653%
Piraeus Bank	28-Nov-13	13-Jan-14	305,700.00	36.85	1,252.95	306,952.95	2.014%
Volksbank S.A.	28-Nov-13	28-Jan-14	401,450.00	48.39	1,645.40	403,095.40	2.645%
Volksbank S.A.	4-Dec-13	6-Jan-14	500,000.00	61.64	1,726.03	501,726.03	3.292%
Volksbank S.A.	30-Dec-13	30-Jan-14	406,900.00	50.17	100.33	407,000.33	2.670%
Total						2,724,145.13	17.872%

XIV. Titluri de participare la OPCVM / AOPC

1. Titluri de participare denuminate in lei

Denumire fond	Data ultimei sedinte in care s-a tranzactionat	Nr. unitati de fond detinute	Valoare unitate de fond (VUAN)	Pret piata	Valoare totala	Pondere in total titluri de participare	Pondere in activul total al OPC
UF FDI CARPATICA GLOBAL	31-Dec-13	2	17.1797	17.1797	27.49	0.00%	0.000%
UF FDI CARAPTICA OBLIGATIUNI	31-Dec-13	123,369	11.2655	11.2655	1,389,810.99	6.12%	9.118%
Total					1,389,838.48		9.118%

XV. Dividende sau alte drepturi de primit

3. Actiuni distribuite cu contraprestatie in bani

Emitent	Simbol actiuni	Data ex-dividend	Nr. actiuni detinute	Valoare actiune	Valoare totala	Pondere in activul total al OPC
Transelectrica S.A.	TEL	15-Oct-13	102	15.7900	1,610.58	0.011%
Total					1,610.58	0.011%

Evolutia activului net si a VUAN în ultimii 3 ani

	An T-2	An T-1	An T
Activ net	11,500,605.19	12,356,908.16	15,183,764.09
VUAN	12.7505	14.5677	16.7229