

SAI: SAI Carpatica Asset Management SA

Decizie autorizare: 275/13.02.2008

CUI: 22227862

Capital social: 800100

FDI Carpatica STOCK

Decizie autorizare: 1237/10.06.2008

Cod inscriere: CSC06FDIR/320052

Situatia activelor si obligatiilor in perioada 01.01.2013 - 31.12.2013

| Denumire element | | Inceputul perioadei de raportare | | | | Sfarsitul perioadei de raportare | | | | Diferente |
|-----------------------|---|----------------------------------|---------------------|----------------------|----------------------|----------------------------------|---------------------|----------------------|----------------------|---------------------|
| | | % din activul net | % din activul total | Valuta (RON) | Lei | % din activul net | % din activul total | Valuta (RON) | Lei | Lei |
| I Total active | | | | 12,399,306.95 | 12,399,306.95 | | | 15,242,492.17 | 15,242,492.17 | 2,843,185.22 |
| 1 | Valori mobiliare si instrumente ale pietei monetare, din care: | 64.94% | 64.72% | 8,024,503.34 | 8,024,503.34 | 69.46% | 69.19% | 10,547,023.22 | 10,547,023.22 | 2,522,519.88 |
| 11 | val mob si instrum ale pietei monetare admise sau tranz pe o piata reglementata din RO, din care: | 55.86% | 55.67% | 6,902,470.64 | 6,902,470.64 | 61.09% | 60.85% | 9,275,170.18 | 9,275,170.18 | 2,372,699.54 |
| 111 | actiuni | 55.86% | 55.67% | 6,902,470.64 | 6,902,470.64 | 60.40% | 60.17% | 9,171,364.70 | 9,171,364.70 | 2,268,894.06 |
| 113 | obligatiuni, din care: | 0.00% | 0.00% | 0.00 | 0.00 | 0.68% | 0.68% | 103,805.48 | 103,805.48 | 103,805.48 |
| 1132 | obligatiuni municipale cotate | 0.00% | 0.00% | 0.00 | 0.00 | 0.68% | 0.68% | 103,805.48 | 103,805.48 | 103,805.48 |
| 12 | val mob si instrum ale pietei monetare admise sau tranz pe o piata reglementata din UE, din care: | 9.08% | 9.05% | 1,122,032.70 | 1,122,032.70 | 5.08% | 5.06% | 771,100.99 | 771,100.99 | -350,931.71 |
| 121 | actiuni | 0.00% | 0.00% | 0.00 | 0.00 | 0.37% | 0.37% | 56,798.73 | 56,798.73 | 56,798.73 |
| 123 | obligatiuni, din care: | 9.08% | 9.05% | 1,122,032.70 | 1,122,032.70 | 4.70% | 4.69% | 714,302.26 | 714,302.26 | -407,730.44 |
| 1231 | obligatiuni corporative cotate | 7.41% | 7.39% | 916,250.34 | 916,250.34 | 0.00% | 0.00% | 0.00 | 0.00 | -916,250.34 |
| 1232 | obligatiuni municipale cotate | 1.67% | 1.66% | 205,782.36 | 205,782.36 | 4.70% | 4.69% | 714,302.26 | 714,302.26 | 508,519.90 |
| 14 | valori mobiliare nou emise, din care: | 0.00% | 0.00% | 0.00 | 0.00 | 3.30% | 3.29% | 500,752.05 | 500,752.05 | 500,752.05 |
| 141 | obligatiuni corporative necotate INCA | 0.00% | 0.00% | 0.00 | 0.00 | 3.30% | 3.29% | 500,752.05 | 500,752.05 | 500,752.05 |
| 2 | Disponibil in cont curent si numerar, din care: | 1.90% | 1.90% | 235,070.51 | 235,070.51 | 3.84% | 3.82% | 582,994.76 | 582,994.76 | 347,924.25 |
| 3 | Depozite bancare, din care: | 23.77% | 23.69% | 2,937,912.38 | 2,937,912.38 | 17.94% | 17.87% | 2,724,145.13 | 2,724,145.13 | -213,767.25 |
| 31 | depozite bancare constituite la institutii de credit din Romania | 23.77% | 23.69% | 2,937,912.38 | 2,937,912.38 | 17.94% | 17.87% | 2,724,145.13 | 2,724,145.13 | -213,767.25 |
| 7 | Titluri de participare la OPCVM/AOPC | 9.73% | 9.69% | 1,201,920.72 | 1,201,920.72 | 9.15% | 9.12% | 1,389,838.48 | 1,389,838.48 | 187,917.76 |

| | | | | | | | | | | |
|--|--|-------|-------|----------------------|----------------------|--------|--------|----------------------|----------------------|---------------------|
| 8 | Dividende si alte drepturi de incasat | 0.00% | 0.00% | 0.00 | 0.00 | 0.01% | 0.01% | 1,610.58 | 1,610.58 | 1,610.58 |
| 9 | Alte active, din care: | 0.00% | 0.00% | -100.00 | -100.00 | -0.02% | -0.02% | -3,120.00 | -3,120.00 | -3,020.00 |
| 91 | sume in tranzit | 0.00% | 0.00% | 0.00 | 0.00 | -0.01% | -0.01% | -1,020.00 | -1,020.00 | -1,020.00 |
| 94 | Sume in curs de rezolvare | 0.00% | 0.00% | -100.00 | -100.00 | -0.01% | -0.01% | -2,100.00 | -2,100.00 | -2,000.00 |
| II Total obligatii | | | | 41,976.38 | 41,976.38 | | | 58,728.08 | 58,728.08 | 16,751.70 |
| 10 | CHELTUIELILE FONDULUI (sold obligatii) | 0.34% | 0.34% | 41,976.38 | 41,976.38 | 0.39% | 0.39% | 58,728.08 | 58,728.08 | 16,751.70 |
| 101 | Comisioane datorate soc. de admin. | 0.30% | 0.30% | 37,638.82 | 37,638.82 | 0.30% | 0.30% | 45,168.52 | 45,168.52 | 7,529.70 |
| 102 | Comisioane datorate depozitarului | 0.03% | 0.03% | 3,274.60 | 3,274.60 | 0.03% | 0.03% | 3,967.18 | 3,967.18 | 692.58 |
| 103 | Taxa CNVM | 0.01% | 0.01% | 1,062.96 | 1,062.96 | 0.01% | 0.01% | 1,250.84 | 1,250.84 | 187.88 |
| 108 | cheltuieli audit | 0.00% | 0.00% | 0.00 | 0.00 | 0.05% | 0.05% | 8,341.54 | 8,341.54 | 8,341.54 |
| III Valoarea activului net (I - II) | | | | 12,357,330.57 | 12,357,330.57 | | | 15,183,764.09 | 15,183,764.09 | 2,826,433.52 |

Situatia valorii unitare a activului net

| Denumire element | La data de 31.12.2013 | La data de 31.12.2012 | Diferente |
|---|--------------------------|--------------------------|---------------------|
| Valoare activ net | 15,183,764.09 | 12,356,908.16 | 2,826,855.93 |
| Numar unitati de fond/actiuni in circulatie | 907,961.51 | 848,241.78 | 59,719.73 |
| Valoarea unitara a activului net | 16.7229 | 14.5677 | 2.1552 |