

FDI Carpatica STOCK – Situatia detaliata a activelor la data de 30/06/2016

I. Valori mobiliare admise sau tranzactionate pe o piata reglementata din Romania

1. Actiuni tranzactionate

| Emitent | Simbol actiune | Data ultimei sedinte in care s-a tranzactionat | Nr. actiuni detinute | Valoare nominala | Valoare actiune | Valoare totala | Pondere in capitalul social al emitentului | Pondere in activul total al OPC |
|---------------------------------|----------------|--|----------------------|------------------|-----------------|---------------------|--|---------------------------------|
| Antibiotice Iasi | ATB | 30-Jun-16 | 250,208.00 | 0.1000 | 0.4570 | 114,345.06 | 0.0373% | 1.824% |
| ARMAX GAZ SA Medias | ARAX | 24-Jun-16 | 7,310.00 | 10.0000 | 1.9200 | 14,035.20 | 0.1190% | 0.224% |
| BANCA COMERCIALA CARPATICA S.A. | BCC | 30-Jun-16 | 3,963,328.00 | 0.1000 | 0.0920 | 364,626.18 | 0.1799% | 5.817% |
| Banca Transilvania Cluj | TLV | 30-Jun-16 | 75,000.00 | 1.0000 | 2.0800 | 156,000.00 | 0.0025% | 2.489% |
| Biofarm Bucuresti | BIO | 30-Jun-16 | 51.00 | 0.1000 | 0.2380 | 12.14 | 0.0000% | 0.000% |
| BRD - GROUPE SOCIETE GENERALE | BRD | 30-Jun-16 | 22,500.00 | 1.0000 | 9.8000 | 220,500.00 | 0.0032% | 3.518% |
| Compa Sibiu | CMP | 29-Jun-16 | 39,000.00 | 0.1000 | 0.6760 | 26,364.00 | 0.0178% | 0.421% |
| CONPET SA PLOIESTI | COTE | 30-Jun-16 | 600.00 | 3.3000 | 73.4000 | 44,040.00 | 0.0069% | 0.703% |
| ELECTRICA SA | EL | 30-Jun-16 | 16,593.00 | 10.0000 | 13.1200 | 217,700.16 | 0.0048% | 3.473% |
| ELECTROMAGNETICA SA | ELMA | 30-Jun-16 | 248,003.00 | 0.1000 | 0.1375 | 34,100.41 | 0.0367% | 0.544% |
| FONDUL PROPRIETATEA SA | FP | 30-Jun-16 | 503,620.00 | 0.8500 | 0.7260 | 365,628.12 | 0.0046% | 5.833% |
| Impact Bucuresti | IMP | 30-Jun-16 | 20,000.00 | 1.0000 | 0.7500 | 15,000.00 | 0.0072% | 0.239% |
| Petrom Bucuresti | SNP | 30-Jun-16 | 1,100,173.00 | 0.1000 | 0.2400 | 264,041.52 | 0.0019% | 4.213% |
| S.N. NUCLEARELECTRICA S.A. | SNN | 30-Jun-16 | 47,631.00 | 10.0000 | 5.0200 | 239,107.62 | 0.0158% | 3.815% |
| S.N.G.N. ROMGAZ S.A. | SNG | 30-Jun-16 | 11,500.00 | 1.0000 | 25.1000 | 288,650.00 | 0.0030% | 4.605% |
| SIF 1 Banat Crisana | SIF1 | 30-Jun-16 | 351,056.00 | 0.1000 | 1.4300 | 502,010.08 | 0.0640% | 8.009% |
| SIF 2 Moldova | SIF2 | 30-Jun-16 | 190,000.00 | 0.1000 | 0.7100 | 134,900.00 | 0.0183% | 2.152% |
| SIF 3 Transilvania | SIF3 | 30-Jun-16 | 1,500,000.00 | 0.1000 | 0.2475 | 371,250.00 | 0.0687% | 5.923% |
| SIF 5 Oltenia | SIF5 | 30-Jun-16 | 386,089.00 | 0.1000 | 1.5220 | 587,627.46 | 0.0665% | 9.375% |
| Transelectrica S.A. | TEL | 30-Jun-16 | 7,781.00 | 10.0000 | 27.6000 | 214,755.60 | 0.0106% | 3.426% |
| TRANSGAZ SA | TGN | 30-Jun-16 | 970.00 | 10.0000 | 272.0000 | 263,840.00 | 0.0082% | 4.209% |
| Vrancart Adjud | VNC | 30-Jun-16 | 684,593.00 | 0.1000 | 0.1530 | 104,742.73 | 0.0793% | 1.671% |
| Total | | | | | | 4,543,276.28 | | 72.485% |

2. Actiuni netranzactionate in ultimele 30 de zile

| Emitent | Simbol actiune | Data ultimei sedinte in care s-a tranzactionat | Nr. actiuni detinute | Valoare nominala | Valoare actiune | Valoare totala | Pondere in capitalul social al emitentului | Pondere in activul total al OPC |
|---------------------------|----------------|--|----------------------|------------------|-----------------|----------------|--|---------------------------------|
| CONCEFA SA SIBIU | COFI | 14-Mar-12 | 1,600,000.00 | 0.1000 | 0.0320 | 0.00 | 0.2540% | 0.000% |
| Condmag Brasov | COMI | 20-Jul-15 | 1,009,583.00 | 0.1000 | 0.1173 | 0.00 | 0.2647% | 0.000% |
| PROSPECTIUNI SA BUCURESTI | PRSN | 6-Apr-16 | 275,000.00 | 0.1000 | 0.0354 | 0.00 | 0.0383% | 0.000% |
| Total | | | | | | 0.00 | | 0.000% |

II. Valori mobiliare admise sau tranzactionate pe o piata reglementata din alt stat membru

1. Actiuni tranzactionate - denumite in

| Emitent | Cod ISIN | Data ultimei sedinte in care s-a tranzactionat | Nr. actiuni detinute | Valoare nominala* | Valoare actiune* | Curs valutar BNR .../RON | Valoare totala | Pondere in capitalul social al emitentului | Pondere in activul total al OPC |
|---------------------|--------------|--|----------------------|-------------------|------------------|--------------------------|------------------|--|---------------------------------|
| ERSTE GROUP BANK AG | AT0000652011 | 30-Jun-16 | 900.00 | 2.0000 | 20.3099 | 4.5210 | 82,639.36 | 0.0002% | 1.318% |
| Total | | | | | | | 82,639.36 | | 1.318% |

IV. Instrumente ale pietei monetare admise sau tranzactionate pe o piata reglementata din Romania

1. Instrumente ale pietei monetare admise sau tranzactionate pe o piata reglementata din Romania

| Emitent | Simbol | Data ultimei sedinte in care s-a tranzactionat | Nr. instrumente detinute | Data achizitie | Data scadenta | Valoare initiala | Crestere zilnica | Dobanda cumulata | Discount/prezenta cumulata(a) | Pret piata | Valoare totala | Pondere in total instrumente ale pietei monetare ale unui emitent | Pondere in activul total al OPCVM |
|----------------------------------|--------|--|--------------------------|----------------|---------------|------------------|------------------|------------------|-------------------------------|------------|------------------|---|-----------------------------------|
| Primaria Municipiului Alba Iulia | ALB25A | | 2,320.00 | 19-Aug-13 | 14-Oct-16 | 34.09 | 0.01 | 0.1562 | 3.5967 | - | 87,795.57 | 0.00% | 1.401% |
| Total | | | | | | | | | | | 87,795.57 | | 1.401% |

IX. Disponibil in conturi curente si numerar

1. Disponibil in conturi curente si numerar in lei

| Denumire banca | Valoare curenta | Pondere in activul total al OPC |
|---------------------------------|-------------------|---------------------------------|
| BANCA COMERCIALA CARPATICA S.A. | 28,555.47 | 0.456% |
| BANCA COMERCIALA CARPATICA S.A. | 0.00 | 0.000% |
| BANCA COMERCIALA FERROVIARA | 372,545.79 | 5.944% |
| BANCA COMERCIALA FERROVIARA | 5.26 | 0.000% |
| Credit Europe Bank | 18.36 | 0.000% |
| LIBRA BANK | 49.02 | 0.001% |
| RAIFFEISEN BANK | 11,902.69 | 0.190% |
| RAIFFEISEN BANK | 0.00 | 0.000% |
| VENETO BANCA SA | 115.07 | 0.002% |
| Total | 413,191.66 | 6.592% |

X. Depozite bancare

1. Depozite bancare denumite in lei

| Denumire banca | Data constituirii | Data scadentei | Valoare initiala | Crestere zilnica | Dobanda cumulata | Valoare totala | Pondere in activul total al OPC |
|-----------------------------|-------------------|----------------|------------------|------------------|------------------|-------------------|---------------------------------|
| BANCA COMERCIALA FERROVIARA | 9-Jun-16 | 11-Jul-16 | 300,000.00 | 10.00 | 220.00 | 300,220.00 | 4.790% |
| VENETO BANCA SA | 18-Apr-16 | 18-Jul-16 | 200,000.00 | 7.95 | 587.95 | 200,587.95 | 3.200% |
| Total | | | | | | 500,807.95 | 7.990% |

XIV. Titluri de participare la OPCVM / AOPC

1. Titluri de participare denumite in lei

| Denumire fond | Data ultimei sedinte in care s-a tranzactionat | Nr. unitati de fond detinute | Valoare unitate de fond (VUAN) | Pret piata | Valoare totala | Pondere in total titluri de participare | Pondere in activul total al OPC |
|------------------------------|--|------------------------------|--------------------------------|------------|-------------------|---|---------------------------------|
| UF FDI CARPATICA GLOBAL | 30-Jun-16 | 30,003.04 | 19.4240 | 19.4240 | 582,779.05 | 5.95% | 9.298% |
| UF FDI CARPATICA OBLIGATIUNI | 30-Jun-16 | 1.34 | 12.5409 | 12.5409 | 16.80 | 0.00% | 0.000% |
| Total | | | | | 582,795.85 | | 9.298% |

XV. Dividende sau alte drepturi de primit

1. Dividende de incasat

| Emitent | Simbol actiune | Data ex-dividend | Nr. actiuni detinute | Dividend brut | Suma de incasat | Pondere in activul total al OPC |
|--------------|----------------|------------------|----------------------|---------------|------------------|---------------------------------|
| TRANSGAZ SA | TGN | 21-Jun-16 | 970.00 | 27.6100 | 25,442.70 | 0.406% |
| Total | | | | | 25,442.70 | 0.406% |

2. Actiuni distribuite fara contraprestatie in bani

| Emitent | Simbol actiune | Data ex-dividend | Nr. actiuni | Valoare actiune | Valoare totala | Pondere in activul total al OPC |
|-------------------------|----------------|------------------|-------------|-----------------|------------------|---------------------------------|
| Banca Transilvania Cluj | TLV | 30-May-16 | 15,367.00 | 2.0800 | 31,963.36 | 0.510% |
| Total | | | | | 31,963.36 | 0.510% |

| | An T-2 | An T-1 | An T |
|-----------|---------------|--------------|--------------|
| Activ net | 15,060,839.42 | 9,252,480.80 | 6,245,998.79 |
| VUAN | 16.6066 | 16.316 | 15.3274 |