



SAI: SAI Carpatia Asset Management SA

Decizie autorizare: 275/13.02.2008 Cod inscriere: PJR05SAIR/320025
 CUI: 22227862 Inregistrare ONRC: J32/1324/2007
 Capital social: 800100 Adresa: B-dul. G-ral Vasile Milea, nr.1, SIBIU

ANEXA NR. 10

FDI Carpatia OBLIGATIUNI

Decizie autorizare: 209/28.02.2012
 Cod inscriere: CSC06FDIR/320078

Situatia activelor si obligatiilor in perioada 01.01.2016 - 31.12.2016

Denumire element	Inceputul perioadei de raportare				Starsitul perioadei de raportare				Diferenta (lei)
	% din activul net	% din activul total	Valuta (RON)	Lei	% din activul net	% din activul total	Valuta (RON)	Lei	
I Total active			61,454,981.55	61,454,981.55			85,777,594.08	85,777,594.08	24,322,612.53
1 Valori mobiliare si instrumente ale pietei monetare, din care:	60.494240%	60.231750%	37,015,412.20	37,015,412.20	65.531660%	65.465690%	56,154,901.27	56,154,901.27	19,139,489.07
11 val mob si instrum ale pietei monetare admise sau tranz pe o r	22.101690%	22.005790%	13,523,657.64	13,523,657.64	49.267540%	49.217950%	42,217,976.89	42,217,976.89	28,694,319.25
113 obligatiuni, din care:	22.101690%	22.005790%	13,523,657.64	13,523,657.64	49.267540%	49.217950%	42,217,976.89	42,217,976.89	28,694,319.25
1131 obligatiuni corporative cotate	4.228640%	4.210290%	2,587,437.74	2,587,437.74	3.460510%	3.457030%	2,965,357.96	2,965,357.96	377,920.22
1132 obligatiuni municipale cotate	7.653360%	7.620150%	4,682,962.33	4,682,962.33	7.655470%	7.647770%	6,560,076.52	6,560,076.52	1,877,114.19
1133 obligatiuni de stat cotate	10.219690%	10.175340%	6,253,257.57	6,253,257.57	38.151550%	38.113140%	32,692,542.41	32,692,542.41	26,439,284.84
12 val mob si instrum ale pietei monetare admise sau tranz pe o r	38.045070%	37.879990%	23,279,143.01	23,279,143.01	15.729390%	15.713560%	13,478,714.77	13,478,714.77	-9,800,428.24
123 obligatiuni, din care:	38.045070%	37.879990%	23,279,143.01	23,279,143.01	15.729390%	15.713560%	13,478,714.77	13,478,714.77	-9,800,428.24
1231 obligatiuni corporative cotate	13.908760%	13.848410%	8,510,539.39	8,510,539.39	5.919870%	5.913910%	5,072,816.17	5,072,816.17	-3,437,723.22
1233 Obligatiuni de stat cotate	24.136310%	24.031580%	14,768,603.62	14,768,603.62	9.809510%	9.799640%	8,405,898.60	8,405,898.60	-6,362,705.02
14 valori mobiliare nou emise, din care:	0.000000%	0.000000%	0.00	0.00	0.534720%	0.534180%	458,209.61	458,209.61	458,209.61
141 obligatiuni corporative necotate INCA	0.000000%	0.000000%	0.00	0.00	0.534720%	0.534180%	458,209.61	458,209.61	458,209.61
15 alte val mob si instr ale pietei mon mentionate la art 102 (1) l	0.347470%	0.345960%	212,611.55	212,611.55	0.000000%	0.000000%	0.00	0.00	-212,611.55
151 valori mobiliare, din care:	0.347470%	0.345960%	212,611.55	212,611.55	0.000000%	0.000000%	0.00	0.00	-212,611.55
1513 obligatiuni de stat necotate	0.347470%	0.345960%	212,611.55	212,611.55	0.000000%	0.000000%	0.00	0.00	-212,611.55
2 Disponibil in cont curent si numerar, din care:	3.287690%	3.273420%	2,011,685.09	2,011,685.09	2.648680%	2.646010%	2,269,691.07	2,269,691.07	258,005.98
3 Depozite bancare, din care:	37.545700%	37.382780%	22,973,585.26	22,973,585.26	32.436560%	32.403910%	27,795,299.74	27,795,299.74	4,821,714.48
31 depozite bancare constituite la institutii de credit din Romania	37.545700%	37.382780%	22,973,585.26	22,973,585.26	32.436560%	32.403910%	27,795,299.74	27,795,299.74	4,821,714.48
5 Instrumente financiare derivate tranzactionate pe o piata nereg	-0.543610%	-0.541250%	-332,631.00	-332,631.00	-0.206100%	-0.205900%	-176,618.00	-176,618.00	156,013.00
51 Instrumente financiare derivate tranzactionate pe o piata nereg	-0.543610%	-0.541250%	-332,631.00	-332,631.00	-0.206100%	-0.205900%	-176,618.00	-176,618.00	156,013.00
511 Contracte forward	-0.543610%	-0.541250%	-332,631.00	-332,631.00	-0.206100%	-0.205900%	-176,618.00	-176,618.00	156,013.00
9 Alte active, din care:	-0.348220%	-0.346700%	-213,070.00	-213,070.00	-0.310040%	-0.309730%	-265,680.00	-265,680.00	-52,610.00
94 Sume in curs de rezolvare	-0.348220%	-0.346700%	-213,070.00	-213,070.00	-0.310040%	-0.309730%	-265,680.00	-265,680.00	-52,610.00
II Total obligatii			266,664.20	266,664.20			86,342.65	86,342.65	-180,321.55
10 CHELTUIELILE FONDULUI (sold obligatii)	0.435800%	0.433910%	266,664.20	266,664.20	0.100760%	0.100650%	86,342.65	86,342.65	-180,321.55
101 Comisioane datorate soc. de admin.	0.079250%	0.078910%	48,497.22	48,497.22	0.079420%	0.079340%	68,059.87	68,059.87	19,562.65
102 Comisioane datorate depozitarului	0.014930%	0.014870%	9,138.50	9,138.50	0.013530%	0.013520%	11,599.08	11,599.08	2,460.58
103 Taxa ASF	0.008050%	0.008010%	4,925.94	4,925.94	0.007790%	0.007790%	6,683.70	6,683.70	1,757.76
107 Obligatie de rascumparare	0.333560%	0.332110%	204,102.54	204,102.54	0.000000%	0.000000%	0.00	0.00	-204,102.54
III Valoarea activului net (I - II)			61,188,317.35	61,188,317.35			85,691,251.43	85,691,251.43	24,502,934.08

Situatia valorii unitare a activului net

Denumire element	La data de 31.12.2015	La data de 31.12.2016	Diferente
Valoare activ net	61,182,121.13	85,691,251.43	-24,509,130.30
Numar unitati de fond/actiuni in circulatie	4,967,601.60	6,685,206.21	-1,717,604.61
Valoarea unitara a activului net	12.3162	12.8180	-0.5018